

Corgentum's Background Investigations: An Integrated Solution



CORGENTUM

Independent Operational Due Diligence

Our background investigation services compliment Corgentum's comprehensive operational due diligence reviews.

In-house Investigative Due Diligence

Rather than outsource background investigation work to third-parties, Corgentum performs background investigations in-house. This yields a more comprehensive investigation that is better integrated into the overall operational due diligence review process.

Actionable Recommendations

We have designed our reviews with investors in mind. Not only do we deliver background investigation data, but we also provide risk ratings and advice on how to interpret the findings - something most traditional background investigation firms do not do.

Comprehensive Scope

Our background investigation work covers both fund management entities and key individuals. Corgentum's reviews cover a comprehensive list of key background and reputational risk areas across five core areas including:

Criminal	Litigation	Regulatory	Factual Information Review	Media
Arrest records	Docket searches	Searches of US regulators including SEC, FINRA and CFTC/NFA	Employment and education verification	Online searches of web content
Parole and probation records	All federal and state courts	Non-US regulators records searches	Asset searches (property, vehicles etc.)	Broad media search
Sex offender searches	Bankruptcy, foreclosures and tax case searches	OFAC and global sanctions search	Social security verification	Industry-specific periodicals and scholarly article searches
Driver's license search / traffic citations	Judgments and liens searches	Federal agency decisions	Fictitious names / DBA searches	Social media screens